

ARKANSAS INSURANCE DEPARTMENT  
1200 WEST THIRD STREET  
LITTLE ROCK, ARKANSAS 72201  
PHONE 501-371-2750  
FAX 501-683-2604

SELF-FUNDED SINGLE EMPLOYER PLANS,  
COLLECTIVELY BARGAINED PLANS,  
MUTIPLE EMPLOYER TRUST, AND  
MULTIPLE EMPLOYER WELFARE ARRANGEMENTS  
(ARK. CODE ANN. 23-92-101)

1. NAME OF PLAN: \_\_\_\_\_
2. TAX ID NUMBER OF PLAN: \_\_\_\_\_
3. ADDRESS OF PLAN: \_\_\_\_\_  
Street, number or P.O. Box City and State Zip
4. NAME AND TITLE OF CONTACT \_\_\_\_\_
5. TELEPHONE NUMBER OF CONTACT \_\_\_\_\_
6. TYPE OF PLAN, ARRANGEMENT, ASSOCIATION, OR TRUST: (CHECK ONE)
- \_\_\_\_\_ Single Employer, Self Funded Plan
- \_\_\_\_\_ Fully Insured Multiple Employer Welfare Arrangement
- \_\_\_\_\_ Collectively Bargained Welfare Benefit Plan (Taft-Hartley Trust)
- \_\_\_\_\_ Not Fully Insured Multiple Employer Welfare Arrangement
- \_\_\_\_\_ Multiple Employer Trust
6. List all States in which the Plan is registered or licensed (attach copies of license/registration to this form)
- \_\_\_\_\_
7. List all States in which the Plan is doing business or covers individuals:
- \_\_\_\_\_
- \_\_\_\_\_
8. Has the Plan had any complaints regarding claim payment in other states: \_\_\_\_\_yes \_\_\_\_\_no  
(If yes attach a copy of the documentation of the complaint and documentation of the resolution of the complaint)
9. NAME OF THIRD PARTY ADMINISTRATOR: \_\_\_\_\_
10. FEDERAL TAX ID OF THE THIRD PARTY ADMINISTRATOR \_\_\_\_\_
11. CONTACT PERSON OF TPA \_\_\_\_\_
12. CONTACT'S PHONE NUMBER \_\_\_\_\_
13. NUMBER OF INDIVIDUAL ARKANSAS CITIZENS COVERED BY THE PLAN OR ARRANGEMENT: \_\_\_\_\_

14. IF A MULTIPLE EMPLOYER WELFARE ARRANGEMENT (OR TRUST) WHICH IS FULLY INSURED, STATE NAME, ADDRESS AND TELEPHONE NUMBER AND NAIC ID NUMBER OF DISABILITY OR HEALTH INSURER UNDERWRITING THE PLAN *(a copy of the declaration page/certificate and policy must be attached to this application)*

Name of Company \_\_\_\_\_ NAIC # \_\_\_\_\_

Name of Company Contact \_\_\_\_\_ Phone Number \_\_\_\_\_

15. IF A MULTIPLE EMPLOYER WELFARE ARRANGEMENT OR TRUST WHICH IS NOT FULLY INSURED, STATE NAME, ADDRESS AND TELEPHONE NUMBER OF PERSON (S) ADMINISTERING THE PLAN, WHETHER OR NOT A THIRD PARTY ADMINISTRATOR:

Name of Administrator \_\_\_\_\_

Address of Administrator \_\_\_\_\_

Phone Number of Administrator \_\_\_\_\_

**AFFIDAVIT**

I, THE UNDERSIGNED, DO HEREBY SWEAR OR AFFIRM UNDER OATH THAT THE INFORMATION SUMITTED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE AND BELIEF.

\_\_\_\_\_  
NAME AND TITLE

\_\_\_\_\_  
DATE

State of \_\_\_\_\_

County of \_\_\_\_\_

Subscribed to and sworn or affirmed before me on this \_\_\_\_\_ Day of \_\_\_\_\_, 200\_\_\_\_\_

My Commission Expires: \_\_\_\_\_

\_\_\_\_\_  
Notary Public

seal

**SELF-1-LIC(4-02)**